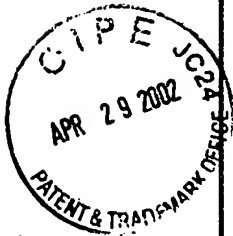


RECEIPT
#3
5-28-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: Robert J. Small and Zhefei J. Chen
 Assignee: EKC Technology, Inc.
 Title: Compositions For Chemical-Mechanical Planarization of Noble-Metal-Featured Substrates, Associated Methods, and Substrates Produced By Such Methods
 Serial No.: 10/057,206 Filing Date: January 25, 2002
 Examiner: unknown Group Art Unit: 1755
 Docket No.: M-12178 US

San Francisco, California
April 18, 2002

ASSISTANT COMMISSIONER FOR PATENTS
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

PETITION FOR CORRECTED FILING RECEIPT

Sir:

Applicants hereby petition the Commissioner For Patents to correct the Official Filing

Receipt for the above-identified patent application as follows:

- ☐ 1. Correct the Filing Date
- ☐ 2. Correct Inventor Name
- ☐ 3. Correct Title
- ☐ 4. Correct information referencing related case
- ☐ 5. Correct Attorney Docket Number
- ☒ 6. Other: Correct Inventor Residence

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28TH FLOOR
SAN FRANCISCO, CA 94111
(415) 217-6000
FAX (415) 434-0646

This petition is submitted to correct the inventor's residence on the filing receipt of the subject application, such that it reads as follows: "Los Altos, CA."

Attached is a copy of the Filing Receipt having the changes to be made notice thereon, as well as a copy of the Declaration signed by the inventor. It is respectfully requested that a corrected Filing Receipt be issued.

Because this was not an error on the part of Applicants, Applicants believe no fee is required. However, the Commissioner is hereby authorized to charge any fee that may be required for this correction to Deposit Account No. 19-2386.

If this action does not lead to issuance of a corrected Official Filing Receipt as requested, please contact the undersigned at (415) 217-6000.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 18, 2002.

Richard A. Pask 4/18/02
Richard A. Pask Date of Signature

Respectfully submitted,

K. Alison de Runtz
K. Alison de Runtz
Attorney of Record
Reg. No. 37,119

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/057,206	01/25/2002	1755	3462	M-12178 US		75	4

CONFIRMATION NO. 1702

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FILING RECEIPT



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Date Mailed: 02/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert J. Small, Dublin, CA;
Zhefei J. Chen, Fremont, CA; **Los Altos, CA**

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/20/2002

Projected Publication Date: 07/31/2003

Non-Publication Request: No

Early Publication Request: No

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Title

Compositions for chemical-mechanical planarization of noble-metal-featured substrates, associated methods, and substrates produced by such methods

Preliminary Class

051

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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